

SEC v. AUBREY LEE PRICE, et al.
Subpoena Report

DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
08-15-12	J.P. Morgan Chase Bank, N.A.	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	No records found
08-15-12	BMO Financial Group	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	No records found
08-15-12	Citibank, N.A.	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	Wells Fargo Bank, N.A. (a/k/a; f/k/a Wachovia Bank, N.A.	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
08-15-12	SunTrust Bank, N.A.	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	Bank of America, N.A.	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	TD Ameritrade	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	Fidelity Investments	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents

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08-15-12	Goldman Sachs	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	Gary Olin	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	Dan McSwain (served on atty Simon R. Malko)	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	Evanston Insurance	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-16-12	Goldman Sachs Execution and Clearing	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-16-12	Citibank International Bank	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
08-16-12	Metlife Insurance	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-16-12	ConvergEx Prime Services c/o Mike DeJarnette	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-16-12	BB&T Bank	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	No records found

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DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
08-21-12	SunTrust Bank	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-22-12	Entrust/Advanta Group	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-22-12	Jim Price	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting production
08-22-12	Lee Price	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
08-22-12	Kathryn Giardina c/o Bob Garner, Esq.	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
08-24-12	KM Homes, LLC c/o Art Leach, Esq.	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-24-12	Keith McSwain c/o Art Leach, Esq.	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-24-12	Jay McSwain c/o Art Leach, Esq.	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
8-24-12	JP Morgan Securities/Legal Compliance	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents

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DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
8-24-12	Colony Insurance Company	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-24-12	Investment Law Group c/o Bob Mottern	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-27-12	Chase Bank, N.A.	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-28-12	Wells Fargo Bank, N.A.	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
08-28-12	Italiano Insurance Services	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-28-12	GE Capital Assurance	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-28-12	Liberty Life Insurance Co.	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-28-12	HSBC	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
08-28-12	Colony Insurance Company	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response

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DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
08-28-12	MetLife Insurance	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-29-12	Montgomery Bank & Trust c/o FDIC as Receiver	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-29-12	First Bank Florida	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
8-30-12	Bank of America for purposes of Scan International USA Inc.	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-29-12	Brewton Parker College	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-13-12	Citizens Property Insurance Corporation	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-13-12	BOLT Insurance Company	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
09-12-12	Liberty Mutual Insurance (Presidential Service Team)	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
09-18-12	Bank of America re. PFGRE, LLC	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents

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DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
09-18-12	Allrisks Insurance	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-18-12	Mercury Insurance	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
09-18-12	Anfe Woordrum	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-18-12	Admiral Insurance Company	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
09-18-12	Geico Insurance	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-21-12	Liberty Mutual Insurance Company c/o Florida Chief Financial Officer as RA	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
09-28-12	A&D Hospitality c/o Adam Van Nus	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting production
09-28-12	Travelers Bond & Financial Products	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
09-28-12	Tradestation Securities	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents

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DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
09-28-12	Topwater Capital Partners, LLC	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting production
09-28-12	Juliani Kenney Investment Capital, LLC	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
09-28-12	FSC Securities Corporation	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
10-01-12	Jerome Murphy	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
10-01-12	Ken Muraoka	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
10-01-12	Erica McGinnis	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
10-01-12	Florida Peninsula Insurance Company	Subpoena DT for Production of Documents; Order Appointing Receiver	No records found
10-01-12	Anfe Woodrum c/o Dewey N. Hayes, Jr.	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
10-03-12	HSBC Insurance Services	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response

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DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
10-04-12	American Express Company/Datamark	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting production
10-04-12	Regents Bank	Subpoena DT for Production of Documents; Order Appointing Receiver	No records found
10-10-12	Liberty Mutual Insurance Company c/o Florida Chief Financial Officer	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response
10-18-12	Nelson Mullins Riley & Scarborough	Subpoena DT for Production of Documents; Order Appointing Receiver	Awaiting response